

Oct. 15. 2004 3:10PM - pepper hamilton

RECEIVED
CENTRAL FAX CENTER

No. 2279 P. 1

OCT 15 2004

Pepper Hamilton LLP

Attorneys at Law

50th Floor
500 Grant Street
Pittsburgh, PA 15219-2502
412.454.5000
Fax 412.281.0717
www.pepperlaw.com

FAX INFORMATION SHEET

Date: October 15, 2004
ID Number: 62626
Identifier: 124256.00401

<u>Recipient's Name</u>	<u>Company</u>	<u>General Number</u>	<u>Fax Number</u>
Commissioner for Patents	USPTO		703.872.9306

Sender: James M. Singer
Sender's Direct Line: 412.454.5023
Sender's Email Address: singerj@pepperlaw.com

Total Pages Including Cover: 3

Comments: Please see the attached Status Check for Serial No. 10/686,462.

An original or a copy has [] or has not [✓] been sent to you by mail [] or by overnight service [] or by email [].

◆ ◆ If total pages are not received, or an error occurred during this transmission,
please call the sender at the direct line listed above. ◆ ◆

◆ ◆ CONFIDENTIALITY NOTE ◆ ◆

The documents accompanying this facsimile transmission contain information from the law firm of Pepper Hamilton LLP which is confidential and/or legally privileged. The information is intended only for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited, and that the documents should be returned to this Firm immediately. In this regard, if you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us at no cost to you.

Operator's Use Only

Start Time: : am [] pm [] End Time: : am [] pm []

Operator:

Coversheet Page 1 of 1

PT: #198733 v1 (49CD011.DOC)

PAGE 1/3 * RCVD AT 10/15/2004 3:12:46 PM [Eastern Daylight Time] * SVR:USPTO-EFAXF-1/3 * DNIS:8729306 * CSID:412 454 5000 * DURATION (mm-ss):01-18

PATENT APPLICATION
Application No. 10/686,462
Paper Dated: October 15, 2004
Attorney Docket No. 124256.401

RECEIVED
CENTRAL FAX CENTER
OCT 15 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Steven Kirk Donoho et al.

Application No. : 10/686,462

Group Art Unit : 3621

Filed : October 15, 2003

Examiner : Not yet assigned

For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR
RELATIONSHIPS, ACCOUNTS, AND TRANSACTIONS

STATUS CHECK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-identified application was filed in the U.S. Patent and Trademark Office on October 15, 2003. Applicants respectfully request the status of this application.

Respectfully submitted,
PEPPER HAMILTON LLP



James M. Singer
Registration No. 45,111

One Mellon Center, 50th Floor
500 Grant Street
Pittsburgh, PA 15219
Phone: (412) 454-5000
Fax: (412) 281-0717
Date: October 15, 2004

CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. §1.6 & §1.8

In re Applications of
Steven Kirk DONOHO, et al.

Serial No.: 10/686,462

Art Unit: 3621

Filed October 15, 2003

Examiner: not yet assigned

Title: METHOD AND SYSTEM FOR PROTECTION OF BROKER AND INVESTOR
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

I hereby certify that this "STATUS CHECK" is being sent via facsimile to fax number 703-872-9306 under 37 C.F.R. §1.6 & §1.8 on October 15, 2004.

Michelle Pichora

(Typed/printed name of person mailing paper or fee)

Michelle Pichora

(Signature of person mailing paper or fee)